



Sector #4

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/769,011	01/24/2001	Michael Betts	

Philmore H. Colburn II
55 Griffin Road South
Bloomfield, CT 06002

CONFIRMATION NO. 5539
FORMALITIES LETTER



Date Mailed: 04/26/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$90.
 - \$90 for 5 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 930.

*A copy of this notice **MUST** be returned with the reply.*

08/15/2001 09769011
710.00
130.00
90.00

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08/15/2001 09769011

01 FC:101
02 FC:105
03 FC:103

Sachij
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

#4

Response To Notice To File Missing Parts Of Application Filing Date Granted (PTO-1533)(Large Entity)	Docket No. KOB-0004
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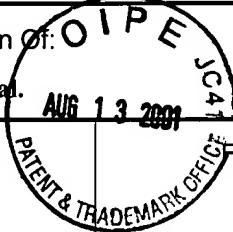
In Re Application of:

Michael Betts et al.

AUG 13 2001

JC41

Serial No.
09/769,011



Filing Date
1/24/01

Examiner

Group Art Unit
2622

Invention: **METHOD, SYSTEM AND STORAGE MEDIUM FOR DOCUMENT PROCESSING**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on

4/26/01

Date

Enclosed herewith for filing are the following:

- A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- Other (list):

08/15/2001 HN00R1 00000043 09769011	04 FC:116 390.00 OP
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**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
KOB-0004

In Re Application Of:

Michael Betts et al.

Serial No. 09/769,011	Filing Date 1/24/01	Examiner	Group Art Unit 2622
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Invention: **METHOD, SYSTEM AND STORAGE MEDIUM FOR DOCUMENT PROCESSING**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

Completion of application fees as calculated below:

Utility application filing fee **\$710.00**

Design application filing fee _____

Total number of independent claims = **3** _____

Total number of claims = **25** **\$90.00**

Multiple dependent claims _____

Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$130.00**

Petition and fee for filing by other than all the inventors or a person not the inventor _____

Fee for processing an application filed with a non-English language specification _____

Fee for processing and retention of application _____

Total completion of application fees **\$930.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

One month Two months Three months Four months Five months

from: **June 26, 2001** *Date* until: **August 26, 2001** *Date*

Total time extension fees **\$390.00**

Total fees due **\$1,320.00**

Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)

Docket No.
KOB-0004

In Re Application Of:

Michael Betts et al.

Serial No.
09/769,011

Filing Date
1/24/01

Examiner

Group Art Unit
2622

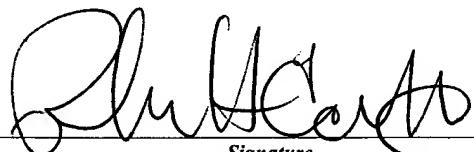
Invention: **METHOD, SYSTEM AND STORAGE MEDIUM FOR DOCUMENT PROCESSING**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of **\$1,320.00** is to be paid as follows:

- A check in the amount of the fee is enclosed.
- The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **06-1130**
A duplicate copy of this sheet is enclosed.
- If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **06-1130**
A duplicate copy of this sheet is enclosed.



Signature

Philmore H. Colburn II Registration Number 35,101

Dated: **August 10, 2001**



23413

PATENT TRADEMARK OFFICE

I certify that this document and fee is being deposited on **August 10, 2001** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



Signature of Person Mailing Correspondence

Norine Barberie

Typed or Printed Name of Person Mailing Correspondence

CC: